



Risk Management Unit

RISK MANAGEMENT IMPLEMENTATION PLAN FOR 2020/21 FINANCIAL YEAR

1. OBJECTIVE

The purpose of the Risk Management Implementation Plan (RMIP) is to communicate the risk management activities and timeframes for the 2020/21 financial year. The plan is aimed at ensuring that the municipality's risk management processes are aligned to the overall Integrated Development Planning (IDP) review, Service Delivery and Budget Implementation Plan (SDBIP) and the Budget Process Plan; thereby driving the municipality's risk management policy and strategy.

2. BACKGROUND

The RMIP has been compiled taking into account the provisions of MFMA Act 56 of 2003 (section 62(1) (c) (i) and section 25 (2) of the Public Sector Risk Management Framework, 2010. The Risk Management Policy and Strategy are under review and are to be approved by the Council and City Manager; will then be applicable to all directorates. In terms of the formal risk management process, the municipality should identify the strategic and operational risks on an on-going basis. The Risk Management Unit is required to give quarterly reports in line with the SDBIP 2019/20 key Performance Indicators (KPI's).

This requires that the risk profiles of the municipality should be communicated to enable Heads of Departments, General Managers and all officials to design and implement appropriate risk mitigation strategies. The Risk Management Committee (RMC) should in turn through the Chief Risk Officer advise the Accounting Officer and the Mayoral Committee on Risk Management within the municipality.

3. RISK GOVERNANCE PROCESS

The risk governance processes relate to the policy, strategy and governance structure and RMC terms of reference (charter) and ensuring that these remain aligned to developments in good corporate governance practices and in enterprise risk management.

4. RISK MANAGEMENT IMPLEMENTATION PLAN FOR 2020/21 FINANCIAL YEAR

REF. NO.	PLANNED ACTIVITY	DETAILED ACTION	DU DATE	RESPONSIBLE PERSON	OUTCOMES
RISK MANAGEMENT PLANNING					
1.	Development of Risk Management Implementation Plan	Develop a Risk Management Implementation Plan. The Risk Management Committee (RMC) to review the plan and recommend to the City Manager for approval.	July 2020	CRO	Approved Risk Management Implementation Plan.
2.	Development of the RMC schedule of meetings	Develop the RMC schedule of meetings for the year.	August 2020	CRO RMC	Approved RMC schedule of meetings
RISK MANAGEMENT ORIENTATION					
3.	Review the following documents: 2.1 Risk Management Policy 2.2 Risk Management Strategy 2.3 Terms of Reference of the Risk Management Committee	Risk Management Committee (RMC) to review the policy and recommend for Council approval. Risk Management Committee (RMC) to review the Terms of Reference and the Strategy and recommend to the City Manager for approval.	September 2020	CRO RMC	Approved Risk Management Policy, Strategy and Terms of Reference.

4.	Provision of Risk Management training to role players (raising awareness)	Capacitate (training, awareness, etc.) role players throughout the municipality.	September 2020 March 2021	CRO Manager: Risk Management	Risk aware role players throughout the municipality.
5.	Completion of National Treasury Risk Management e-learning programme	Completion all 23 Modules of National Treasury Risk Management e-learning programme.	June 2021	All Risk Champions	Skilled and knowledgeable workforce (risk champions) that is risk intelligent.
6.	Register to write exam to obtain the Certified Risk Management Practitioner (professional designation)	Registration with the Institute of Risk Management South Africa to write the CRM Practitioner exam.	June 2021	CRO Manager: Risk Management Risk Management Specialists	Skilled and knowledgeable risk management officials and recognised professionals.
RISK ASSESSMENT			October 2020	CRO All Directorates	Approved Risk Assessment report for 2020/21 financial year aligned with the 2020/21 IDP/SDBIP targets.
7.	Conduct annual Strategic, Operational and Fraud Risk Assessments for the whole municipality (2020/21 Financial Year)	Conduct annual Strategic, Operational and Fraud Risk Assessments for the whole municipality and compile a consolidated risk assessment report.			RISK MONITORING
8.	Monitoring effectiveness of mitigation strategies	Track progress on the implementation of mitigation strategies by Risk Owners.	August 2020 November 2020 February 2021 May 2021	CRO	Quarterly Risk Monitoring Report on the extent to which mitigating strategies are effective.

9.	Report to Audit Committee and Council on risk management activities performed	Risk Management Committee Chair to compile and present a report to the Accounting Officer on the status of risk management implementation within the municipality which will serve at the Audit Committee and Council meetings.	As and when required	RMC Chair	Signed report produced as per agreed frequency and content.
10.	Development of the municipal wide Business Continuity Management Framework and Policy	Develop a municipal wide Business Continuity Management Framework and Policy.	30 June 2021	CRO	Approved Business Continuity Management Framework and Policy.

Drafted and submitted by:

Mrs. MM. Mototo

Chief Risk Officer

Recommended by:

Mrs. L. Ralikonyana
Risk Management Committee Chairperson

Approved by:

Adv. T. Mea
City Manager